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PATENT #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Soemo et al.

Examiner: Not Assigned

Serial No.: 10/054,751

Group Art No.: Not Assigned

Filed: October 22, 2001

Docket No. 2001P20319US

Title: A Partially Embedded Database and an  
Embedded Database Manager for a  
Control System

Certificate of Mailing Pursuant to 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail on the date  
indicated below in an envelope addressed to: The Assistant  
Commissioner for Patents, Washington, DC 20231

4/16/02

Date:

Linda L. Kaucher

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
Washington, DC 20231  
Attention: Box Missing Parts

Sir:

This is a response to the Notice to File Missing Parts of Non-Provisional Application having a mailing date of March 11, 2002, and for which a period for response of the latter of two (2) months after the mailing date or two (2) months from the filing date was set to expire May 11, 2002.

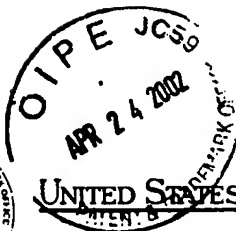
Enclosed is an executed Declaration, and an executed Assignment with a Recordation Cover Sheet.

Please charge **Deposit Account No. 19-2179** for the surcharge of \$1,462.00 in accordance with 37 CFR § 1.16(i), including \$40.00 for recordal of the assignment. Please charge/credit any fee deficiencies/overpayments to **Deposit Account No. 19-2179**. Issuance of a formal Filing Receipt is respectfully solicited. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Michael J. Wallace  
Reg. No. 44,486

Date: 04/16/02  
Siemens Corporation  
Intellectual Property Department  
186 Wood Avenue South  
Iselin, NJ 08830  
(732) 321-3008



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/054,751	10/22/2001	Michael Soemo	SBT 2001P20319US

CONFIRMATION NO. 5865

## FORMALITIES LETTER



\*OC00000007617282\*

Siemens Corporation  
Intellectual Property Department  
186 Wood Avenue South  
Iselin, NJ 08830

Date Mailed: 03/11/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$552.
  - \$216 for 12 total claims over 20.
  - \$336 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1422.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/25/2002 YPOLITE1 00000036 192179 10054751

01 FC:101	740.00 CH
02 FC:102	336.00 CH
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